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OTP E MAZ			Application Number	09/635,345			
TRANSMITTAL FORM  (to be transmitted application)		Filing Date	August 9, 2000				
		First Named Inventor	Albert K. Chin				
		Group Art Unit Number	3738				
			Examiner Name	Thomas C. Barrett			
Total Number of Pages in This Submission 18			Attorney Docket Number	80121-07179			
	ENCL	OSURES	(check all that apply	y)			
Che  Return Receipt Response to Not Assignment & F Declaration Power of Attorn Application Dat Information Dis Copie Request for Coo Request for Coo Amendment/Re After Status Request	etice to File Missing Par Recordation Cover Sheet ey a Sheet closure Statement & PT es of IDS Cited Referent rected Filing Receipt rection of Recorded As esponse: 15 Pages Final	ot FO/SB/08A ces signment	Issue Fee Trans Letter to Chief D Formal Drawing(	mittal raftsperson s): s) of Figure(s) [ sication to Board sication to Groun Brief, Reply Bri f Priority Docum	d of Appeals and p ef) nent(s)		
REMARKS:							
SIGNATURE OF ATTORNEY OR AGENT							
Signature: Q C Sm lth							
Attorney/Reg. No.:	Albert C. Smith, Reg			Dated:	5/10/06		
CERTIFICATE OF MAILING							
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.							
Signature:			2.C, Smi	th			
Typed or Printed Na	me: Albert C. Smith	1		Dated:	5/10/06		

Express Mail Mailing Number (optional):

MAY 1 5 2006

# FEE TRANSMIT AL FOR FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known				
Application Number	09/635,345			
Filing Date	August 9, 2000			
First Named Inventor	Albert K. Chin			
Examiner Name	Thomas C. Barrett			
Art Unit	3738			
Attorney Docket No.	80121-07179			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:		3. ADDITIONAL FEES						
Deposit Account Number	19-2555	Large E	Entity	Small	Entity	Fee Description	Fee Paid	
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)			
Charge fee(s) indicated	prized to: (check all that apply) below Credit any overpayments s) or any underpayment of fee(s) due	1051 1052 1053	130 50 130	2051 2052 1053	65 25 130	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet Non-English specification		
under 37 CFR §1.16 or §	§1.17 during the pendency of this	1812	2,520		2,520	For filing a request for ex parte reexamination		
application  Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1804 1805	920* 1,840*	1804 1805	920* 1.840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action		
FEE CALCULATION		1251	120	2251	60	Extension for reply within first month		
1. BASIC FILING FEE		1252	450	2252	225	Extension for reply within second month		
Large Entity Small Entity		1253	1020	2253	510	Extension for reply within third month		
Fee Fee Fee Fee	Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month		
Code (\$) Code (\$)		1255	2,160	2255	1,080	Extension for reply within fifth month		
<b>№</b> 1		1401	500	2401	250	Notice of Appeal		
٠ -		1402	500	2402	250	Filing a brief in support of an appeal		
		1403 1451	1000 1,510	2403 1451	500 1.510	Request for oral hearing Petition to institute a public use proceeding		
			•		250	Petition to revive - unavoidable		
	(\$) 0	1452	500	2452				
SUBTO	TAL (1) (Φ) σ	1453	1,500	2453	750	Petition to revive - unintentional		
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)		
Ext	ra Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee		
Total Claims -20**=	X =	1503	1100	2503	550	Plant issue fee		
Independent Claims	x =	1460		1460	_	Petitions to the Director		
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications		
Large Entity Small Entity	· ·	1806	180	1806	180	Submission of Information Disclosure Stmt		
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 50 2202 25	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))		
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)		
1204 200 2204 100	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other f	ee (specif	y)				
SUBTO	TAL (2) (5) 0					(6) 0		
	( ) ( ) ( )	•Dad	od by De-1	. Cili "	oo Detd	SUBTOTAL (3) (\$) 0		
••or number previously paid, if greater, For Reissues, see above			ed by Basi	ruing F	ee Palq			

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Albert C. Smith	Registration No. (Attorney/Agent)	20,355		Telephone 650.335.7296
Signature	a.c.	. Smith		Date	5/10/06

# IN THE UNITED STATES

### PATENT AND TRADEMARK OFFICE

PPLICANT:

Albert K. Chin

APPLICATION NO.:

09/635,345

FILING DATE:

August 9, 2000

TITLE:

Apparatus and Methods for Subxiphoid Endoscopic

Access

**EXAMINER:** 

Thomas C. Barrett

GROUP ART UNIT:

3738

ATTY. DKT. NO.:

80121-07179

#### CERTIFICATE OF MAILING

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5/10/06

By: \_\_

Albert C. Smith, Reg. No.: 20,355

MAIL STOP AF COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

## AMENDMENT UNDER 37 C.F.R. § 1.116

Sir:

Responsive to the final action dated March 16, 2006 received in the patent application identified above, please amend the claims in the subject application as set forth herein.